

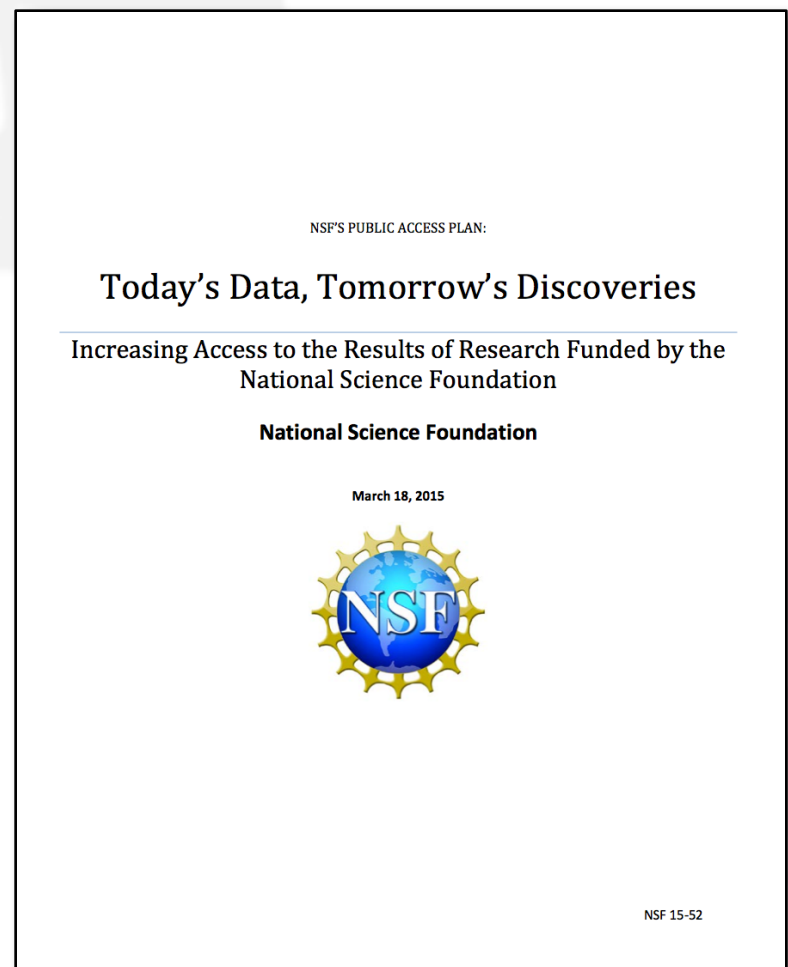
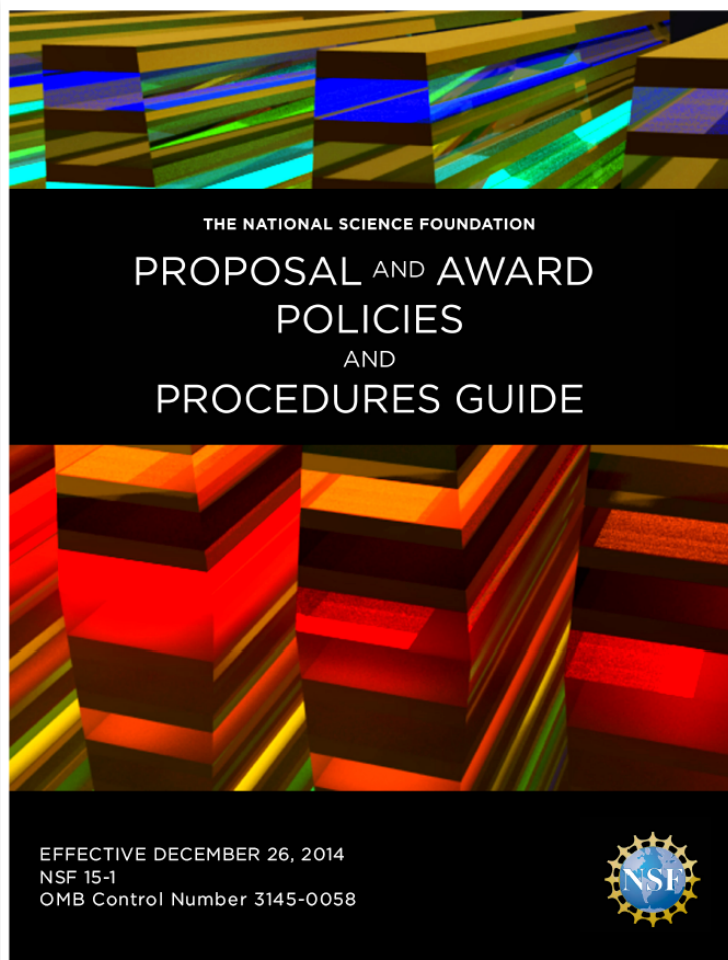
NSF Data Management and Public Access Initiatives

E-Research Network
15 June 2015



Anne Maglia
Division of Biological Infrastructure
National Science Foundation
amaglia@nsf.gov

DMP → Public Access



NSF Data Sharing Policy

“Investigators are expected to share with other researchers, at no more than incremental cost and within a reasonable time, the primary data, samples, physical collections and other supporting materials created or gathered in the course of work under NSF grants. Grantees are expected to encourage and facilitate such sharing.”

NSF Data Management Plan

- 2 supplemental pages
- FastLane requirement/Return Without Review
- Original data, metadata, and software/code
- Details on how data will be managed and disseminated, past performance
- Sufficient for replication

Directorates each have their own DMP Guidance

- Disciplines have their own standards
- Accepted norms for timely distribution vary
- Follow best practices in proposed sub-discipline
- Address how standards, best practices, and timeliness will be met

Example: <http://www.nsf.gov/bio/pubs/BIODMP061511.pdf>



UPDATED Information about the Data Management Plan Required for all Proposals (2/20/13)

BACKGROUND

The National Science Foundation (NSF) required a data management plan (DMP) for all full proposals submitted, or due, to NSF on or after January 18, 2011. For the full policy implementation, see the NSF Grant Proposal Guide (GPG) Chapter II.C.2.j, in the NSF Proposal and Award Policies and Procedure Guide.

REQUIREMENT

Proposals must include a supplementary document of no more than two pages labeled "Data Management Plan". Any specific instructions and exceptions to the two page limit will be found in specific Program Solicitations. The DMP is NOT part of the 15 page Project Description. Even if no data will be produced (e.g., a workshop proposal), a DMP should be submitted that states: "No data are expected to be produced from this project."

Example: NSF/BIO DMP Guidance

1. Describe data collected and metadata formats and standards
2. Describe data storage and preservation resources and facilities (incl. post award)
3. Describe data/metadata dissemination methods (incl. post award)
4. Describe data sharing and public access policies for (incl. privacy, confidentiality, security, IP, etc.)
5. Describe roles and responsibilities re: data management (incl. contingency and post award)

Example: NSF/BIO Post-Award Reporting Guidance

- Annually: data management/sharing progress
 - Identifiers, accession numbers, citations, etc.
- Final report: implementation of the DMP
 - data produced
 - data retained post-award
 - dissemination and verification of sharing
 - format in which data and metadata are available
 - location of data for long-term public access
- Future proposals > “Results of prior NSF support”

NSF Public Access Plan

NSF'S PUBLIC ACCESS PLAN:

Today's Data, Tomorrow's Discoveries

Increasing Access to the Results of Research Funded by the
National Science Foundation

National Science Foundation

March 18, 2015



NSF 15-52

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf15052

Requirements/Constraints

- Provide public access to results of NSF awards
- Minimize burden on PIs, NSF
- Embed public access into existing workflows
- Leverage existing systems
 - e.g., e-Jacket; research.gov, external services
- Extend to multiple products of NSF-funded research
- Minimize cost

NSF Public Access Plan

- As of Jan 2016, requires publications from awards to be made publicly available within one year
- (Waivers to embargo possible)
- DMP requirements, allowance for costs, data citation stay same
- Calls for community engagement in use of identifiers, metadata, standards



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703-292-7380
@ammaglia

Data Management & Sharing under the NIH Public Access Plan for NIH-Funded Research

E-Research Network Webinar with Montana State University

Dina Paltoo*, Ph.D., M.P.H. (Dina.Paltoo@nih.gov)

June 15, 2016

Director, Division of Scientific Data Sharing Policy,

Office of Science Policy,

Office of the Director, National Institutes of Health (NIH), HHS

J.P. Kim*, J.D., M.B.A., M.P.P., M.Sc., M.A. (jpkim@nih.gov)

NIH Extramural Data Sharing Policy Officer,

Office of Extramural Programs (OEP), Office of Extramural Research (OER),

Office of the Director (OD), National Institutes of Health (NIH), HHS

*Co-Chairs of the NIH Digital Scientific Data Policy and Implementation Working Group (under the NIH Scientific Data Council)



Public Access

Access to Publications and Data

Plans, Policies, and Preparing

February 22, 2013

- White House Office of Science and Technology Policy (OSTP), John Holdren, Director
- “Increasing Access to the Results of Federally Funded Scientific Research” (the “Holdren Memo”)
(https://www.whitehouse.gov/sites/default/files/microsites/ostp/ostp_public_access_memo_2013.pdf)

White House Open Data Policy

May 9, 2013

- Executive Order of May 9, 2013, Making Open and Machine Readable the New Default for Government Information
 - Requires agencies to collect or create information in a way that supports **downstream** information processing and **dissemination** activities.
 - Using **machine-readable** and **open formats, data standards, and common core and extensible metadata** for all new information creation and collection efforts.
- Office of Management and Budget (OMB), Sylvia Burwell, Director
 - “Open Data Policy – Managing Information as an Asset” (M-13-13)
 - (<https://www.whitehouse.gov/sites/default/files/omb/memoranda/2013/m-13-13.pdf>)
 - Manage information as an asset throughout its life cycle to promote openness and interoperability, and properly safeguard systems and information
 - Make information resources **accessible, discoverable, & usable** by public



Public Access

OSTP directive under the Holdren Memo:

- Each Federal agency with **over \$100 million** in annual conduct of research and development expenditures
- Develop a **plan** to support increased public access to the results of research funded by the Federal Government
- Applies to scientific **publications** and digital scientific **data**
- Applies **intramurally and extramurally** to grants, contracts, and cooperative agreements



Each agency plan for publications and data must contain the following elements:

- a) a strategy for **leveraging existing archives**, where appropriate, and **fostering public-private partnerships** with scientific journals relevant to the agency's research;
- b) a strategy for improving the public's ability to **locate and access digital data** resulting from federally funded scientific research;
- c) an approach for **optimizing search, archival, and dissemination** features that encourages innovation in accessibility and interoperability, while ensuring **long-term stewardship** of the results of federally funded research;
- d) a plan for **notifying awardees and other federally funded scientific researchers** of their obligations (e.g., through guidance, conditions of awards, and/or regulatory changes);
- e) an agency strategy for **measuring and, as necessary, enforcing compliance** with its plan;
- f) identification of **resources within the existing agency budget** to implement the plan;
- g) a **timeline** for implementation; and
- h) identification of any **special circumstances** that prevent the agency from meeting any of the objectives set out in this memorandum, in whole or in part.

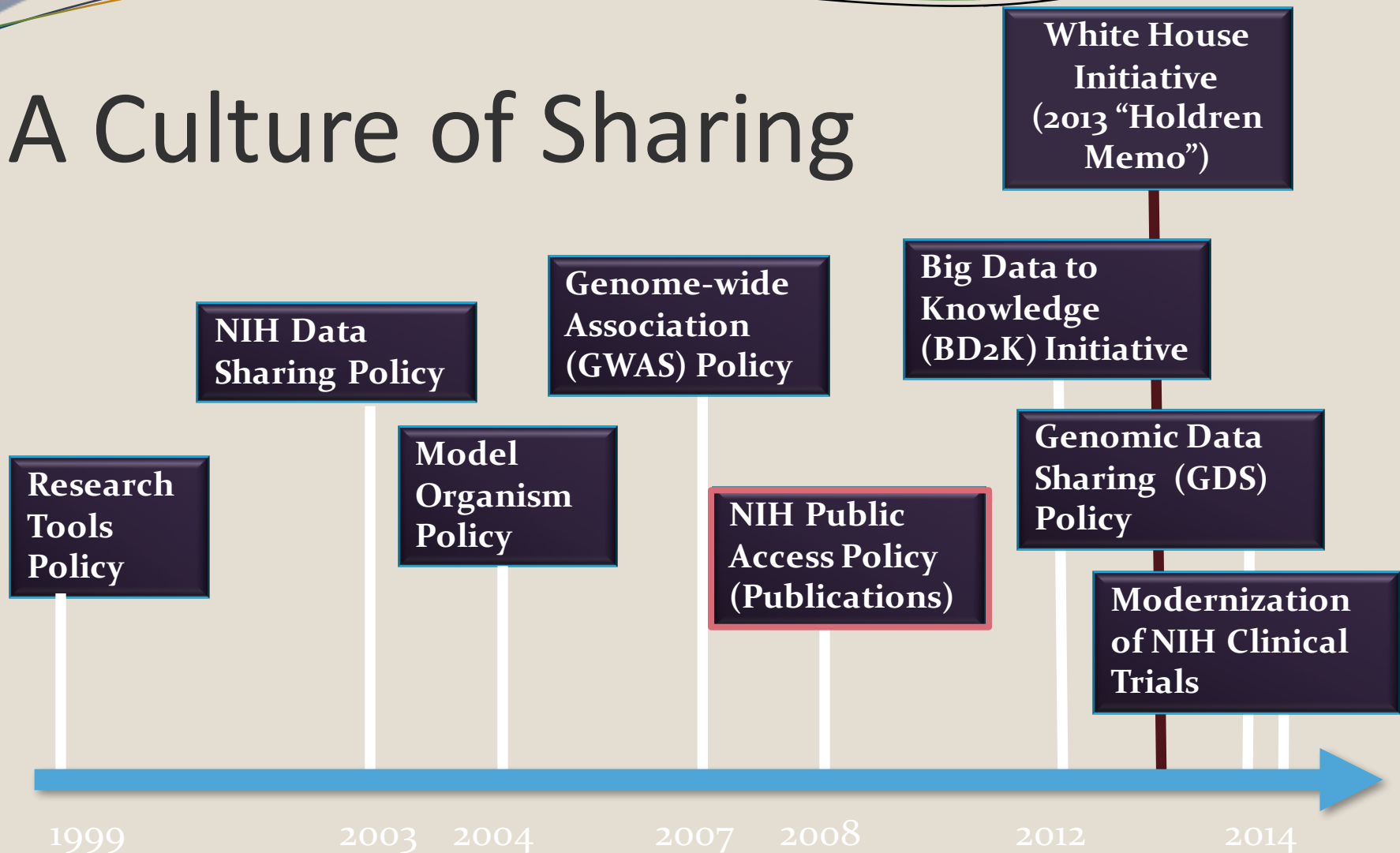
Benefits of Data Sharing

- Inform future research and research funding decisions
 - For example, more funding for new research, advancement of comparable research, etc.
- Enables data generated from one study to be used to explore a wide range of additional research questions
- Increases statistical power and scientific value by enabling data from multiple studies to be combined
- Facilitates reproducibility and validation of research results
- Facilitates innovation of methods and tools for research
- Meet ethical obligation to human subjects (i.e., that results inform science)
- Increase access to data about marketed products

NIH Longstanding Policy

It is NIH policy that the results and accomplishments of the activities that it funds should be made available to the public. PD/PIs and recipient organizations are expected to make the results and accomplishments of their activities available to the research community and to the public at large.

A Culture of Sharing



NIH Public Access Policy for Publications

- Ensures public access to published results of all research funded by NIH since 2005 (by law since 2008)
 - Recipients of NIH funds required to submit final peer-reviewed journal manuscripts to PubMed Central (PMC) upon acceptance for publication
 - Papers must be accessible to the public on PMC no later than 12 months after publication

CONSOLIDATED APPROPRIATIONS ACT, 2008

PUBLIC LAW 110-161—DEC. 26, 2007

121 STAT. 2187



J Biol Chem. 2014 Feb 21;289(8):5074-82. doi: 10.1074/jbc.M113.542787. Epub 2014 Jan 8.

Coupling of human DNA excision repair and the DNA damage checkpoint in a defined in vitro system.

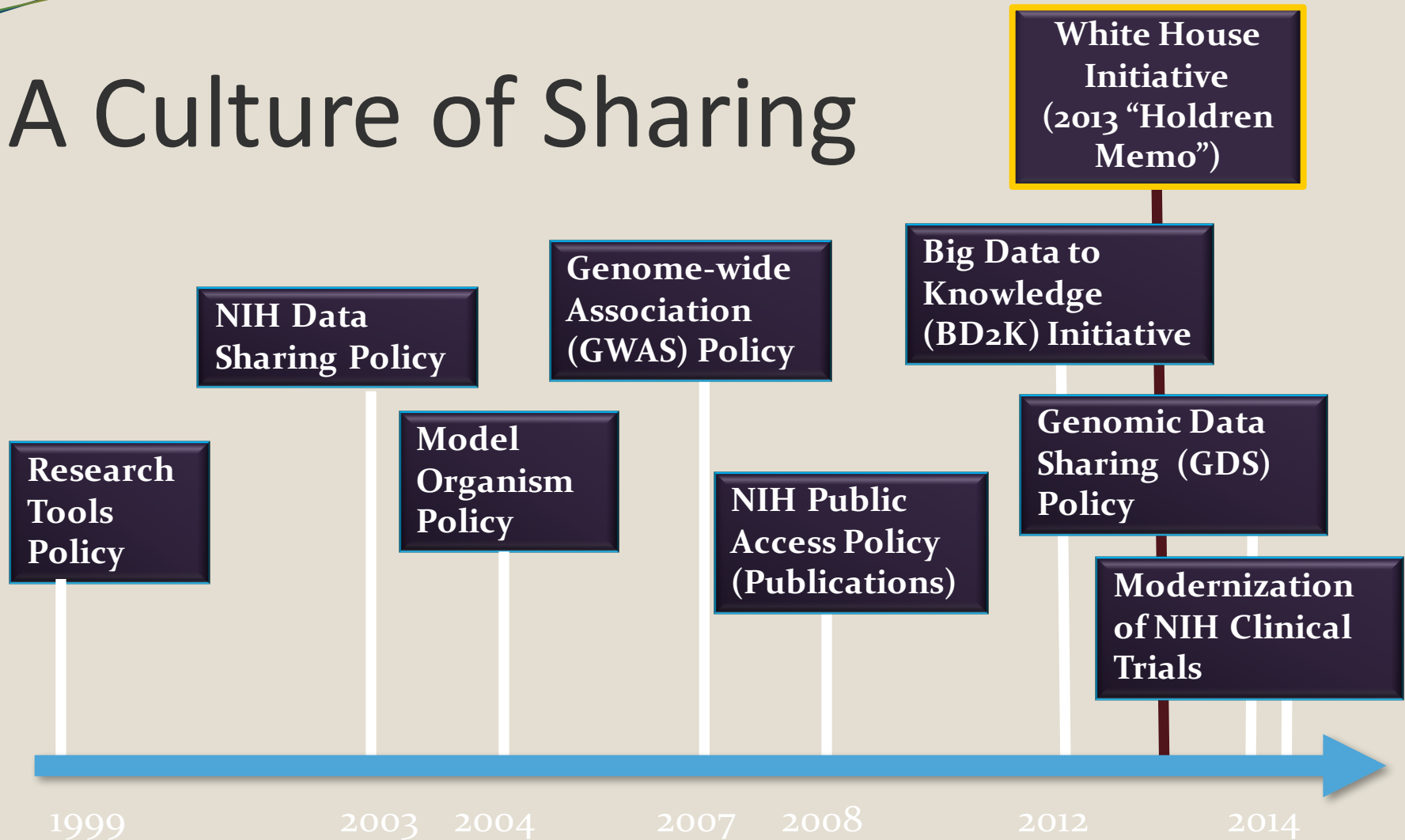
Lindsey-Boltz LA¹, Kemp MG, Reardon JT, DeRocco V, Iyer RP, Modrich P, Sancar A.

Full text links




policy in a manner consistent with copyright law.

A Culture of Sharing



NIH Response to Administration Directives


National Institutes of Health
Office of Extramural Research

[HOME](#)
[ABOUT GRANTS](#)
[FUNDING](#)
[FORMS & DEADLINES](#)
[GRANTS POLICY](#)
[eRA](#)
[NEWS & EVENTS](#)
[ABOUT OER](#)

Grants & Funding

Grants Policy
[Policy & Guidance](#)
[Compliance & Oversight](#)
[Research Involving Human Subjects](#)
[Office of Laboratory Animal Welfare \(OLAW\)](#)
[Animals in Research](#)
[Peer Review Policies & Practices](#)
[Intellectual Property Policy](#)
[Acknowledging NIH Funding](#)
[Invention Reporting \(iEdison\)](#)
[NIH Public Access](#)
[Research Integrity](#)

NIH Sharing Policies and Related Guidance on NIH-Funded Research Resources

It is NIH policy that the results and accomplishments of the activities that it funds should be made available to the public. PIs and funding recipient institutions are expected to make the results and accomplishments of their activities available to the research community and to the public at large. The following links highlight selected NIH policies and related guidance on sharing of research resources developed with NIH funding.

- NEW** [NIH Public Access Plan for Increasing Access to Scientific Publications and Digital Scientific Data from NIH Funded Scientific Research \(02/2015\) \(PDF - 474 KB\)](#) - This document describes NIH's plans to build upon and enhance its longstanding efforts to increase access to scholarly publications and digital data resulting from NIH-funded research.
- [Genomic Data Sharing \(GDS\) \(8/2014\) Final Genomic Data Sharing \(GDS\) Policy](#) that provides for the sharing, for research purposes, of large-scale human and non-human genomic data generated from NIH-funded research. Effective for grant applications and contract proposals submitted for January 25, 2015 due date and thereafter.
- [NIH Grants Policy Statement \(Availability of Research Results\) \(10/2013\)](#) - Section of the NIH Grants Policy Statement discussing the availability of research results developed with NIH funding, including publications, data, unique research resources, and intellectual property (inventions and patents).
- [Common Data Element \(CDE\) Resource Portal \(03/2013\)](#) - The Common Data Element (CDE) Resource Portal provides access to NIH-supported CDE initiatives and other tools and resources which can help researchers use common data elements (CDEs) in clinical research, patient registries, and other human subject research in order to improve data quality and opportunities for comparison and combination of data from multiple studies and with electronic health records.
- [Table of NIH Data Sharing Policies \(03/2013\)](#) - This table lists additional data sharing policies in effect at NIH at the NIH, IC, division, and program levels that apply to broad sets of investigators and data.
- [Table of NIH Data Sharing Repositories \(03/2013\)](#) - This table lists various NIH-supported data repositories that accept submissions of appropriate data from NIH-funded investigators and others, as well as including resources that aggregate information about biomedical data and information sharing systems.
- [Data Repositories Resource Guide \(09/2012\)](#) - (MS Word - 30 KB) - This resource guide document is designed to assist the NIH extramural community by identifying examples of data repositories which may be used for sharing data developed under NIH funding programs, consistent with NIH sharing policies.
- [Data Standards and Common Data Elements Resource Guide \(09/2011\)](#) - (MS Word - 29 KB) - This resource guide document is designed to assist the NIH extramural community in identifying and utilizing certain data standards and common data elements in NIH programs.

NIH Plan on Digital Scientific Data

- Describes current policies and procedures and future considerations.
- Maximize access by the general public, without charge, to digital scientific data.
- Protect privacy, proprietary interests, and preserve the balance between the benefits of access/preservation and the costs.

NIH Plan on Digital Scientific Data (continued)

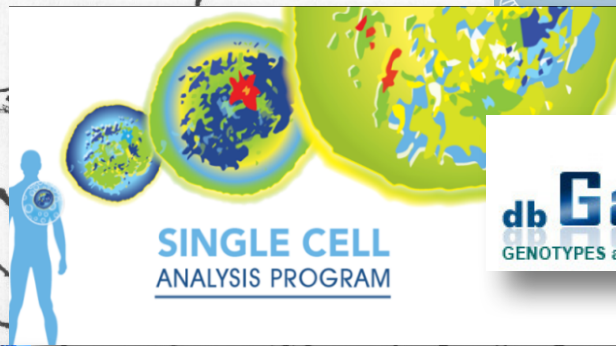
- Explore steps to require data sharing
- Ensure that all NIH-funded researchers prepare data management and sharing plans
- Ensure that plans are reviewed during peer review
- Develop additional policies to increase public access to designated data types
- Encourage use of established repositories and community-based standards
- Develop approaches to ensure discoverability of data
- Promote interoperability and openness (M-13-13)
- Explore the development of a data commons

Considerations for Implementation of the Plan Goals for Digital Scientific Data

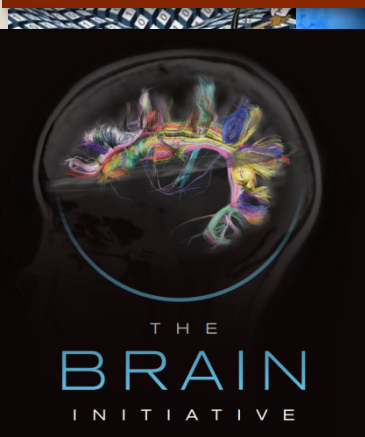
- Timelines for implementation
- For human data, privacy, confidentiality, informed consent issues
- Costs and benefits, for example, establishing suitable repositories
- Implementation costs within constraints of existing budgets and resources
- Notice and feedback from the community
- Changes to policies, systems, forms, procedures, etc.
- Education, training, and tools for NIH staff, community, and others as appropriate

Next Steps

- Further develop draft policy in conjunction with assessment of priorities and costs and benefits under the auspices of the NIH Scientific Data Council (SDC)
- Seek input from NIH, HHS, and other Federal government staff as appropriate
- Revise and issue draft policy guidance for public comment as appropriate
- Review comments and revise policy guidance as needed
 - Work on implementation for systems, forms, procedures, etc.
 - Identify and address challenges as appropriate
- Issue final policy and implementation guidance, along with education, training, tools, etc.



Data Sharing: An Essential Component



Rethinking Clinical Trials™
A Living Textbook of Pragmatic Clinical Trials

GTR: GENETIC TESTING REGISTRY



FDAAA and Clinical Trial reporting

- FDAAA (2007) mandates results sharing through ClinicalTrials.gov for most FDA-regulated studies
- NPRM and NIH Policy expanding this scope (2014 – 2016)

JAMA The Journal of the American Medical Association
January 27, 2015 Volume 313

VIEWPOINT Sharing and Reporting the Results of Clinical Trials

Kathy L. Hudson, PhD
National Institutes of Health, Bethesda, Maryland.

Francis S. Collins, MD, PhD
National Institutes of Health, Bethesda, Maryland.

The principle of data sharing dates to the dawn of scientific discovery—it is how researchers from different disciplines and countries form collaborations, learn from others, and to turn new edge and p man volum to test new principle of ethical m formed th rectly, but search con data to ren are renegi pants, are dizing pub

be blamed entirely. A recent analysis of 400 clinical studies revealed that 30% had not shared results through publication or through results reporting in



FEDERAL REGISTER

Vol. 79 Friday,
No. 225 November 21, 2014

NIH Request for Public Comments on the Draft NIH Policy on Dissemination of NIH-Funded Clinical Trial Information

Notice Number:
NOT-OD-15-019

Key Dates

Release Date: November 19, 2014

Other Data Sharing Policies



NIH Data Sharing Policies

This table lists data sharing policies in effect at NIH. It includes policies at the NIH, IC, division, or announcements (PA) may specify other requirements or expectations for data sharing that apply.

Show 50 entries

IC	Data Sharing Policy Name	
NHGR	ENCODE Consortia Data Release, Data Use, and Publication Policies	Requires resource producers to release primary data databases as soon as the data is verified. Consors or with additional experimentation where appropriate Coordination Centers (DCCs) and these pre-public
NHLBI	NHLBI Policy for Data Sharing from Clinical Trials and Epidemiological Studies	Encourages all applicants to include a plan to add criteria, applicants are required to provide a d applications/proposals requesting \$500000 direct parent studies d) applications/proposals submit appropriate for data sharing by NHLBI program off
NIA	Alzheimer's Disease Genetics Sharing Plan	NIA policy in the area of human Alzheimer's disease Policy and extends NIA's existing policy on sharing association study. It is the policy of the NIA that deposited at the National Cell Repository for Alzheimer's Disease (NCR) or another NIA approved analysis data, derived from NIA funded studies for another NIA approved site or both, wherever possible
NIA	Alzheimer's Disease Neuroimaging Initiative (ADNI) Data Sharing and Publication Policy	The ADNI Executive Committee and the NIA expect short timeframe. ADNI recommends full, open access to the conditions in the 'ADNI Data Use Agreement
NIAD	NIAD/DMID Data Sharing and Release Guidelines	Establishes general principles and specific guidelines Infectious Diseases (ISCID) and other NIAD-funded types collected in NIAD-funded research will be s GenBank, dbGaP, the sequence read archive, the
NIAD	Data Sharing Guiding Principles for the NIAD/DMID Systems Biology Program	The NIAD/DMID Systems Biology Program (SBP) s data available to center investigators, including r content generated by each center, analyses of s contract requirement, research data, protocols at community through the centers' websites or other

Federal Register / Vol. 80, No. 173 / Tuesday, September 8, 2015 / Proposed Rules		53933
DEPARTMENT OF HOMELAND SECURITY	Department of Energy; National Aeronautics and Space Administration; Department of Commerce; Social Security Administration; Agency for International Development; Department of Justice; Department of Labor; Department of Defense; Department of Education; Department of Veterans Affairs; Environmental Protection Agency; Department of Health and Human Services; National Science Foundation; and Department of Transportation.	facsimile: 301-402-2071; email: furry_merit@hhs.gov .
6 CFR Part 46		SUPPLEMENTARY INFORMATION:
DEPARTMENT OF AGRICULTURE		Executive Summary
7 CFR Part 1c		Purpose of the Regulatory Action
DEPARTMENT OF ENERGY		Summary of the Major Provisions of the Proposed Regulatory Action
10 CFR Part 745		Estimated Costs and Benefits
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION		I. The Rationale for Modernizing the Common Rule
14 CFR Part 1230		A. The Changing Nature of Research
DEPARTMENT OF COMMERCE		B. Public Comments, Expert Advice, Stakeholder Dialogue
15 CFR Part 27		C. Guiding Principles for Proposed Changes
SOCIAL SECURITY ADMINISTRATION		2. Questions for Public Comment
20 CFR Part 431		D. Organization of the NPRM
AGENCY FOR INTERNATIONAL DEVELOPMENT		E. Major Proposals To Modernize the Common Rule
22 CFR Part 225		A. Proposed Changes to the Scope and Applicability of the Regulations
DEPARTMENT OF JUSTICE		1. Expanding the Definition of Human Subject to Cover Research With Non-identified Bioprecursors (NPRM at § 101(b)(1)(i))
28 CFR Part 46		a. NPRM Goals
DEPARTMENT OF LABOR		b. Current Rule
29 CFR Part 21		c. NPRM Discussion
DEPARTMENT OF DEFENSE		d. NPRM Proposal
32 CFR Part 210		i. Alternative Proposals
DEPARTMENT OF EDUCATION		ii. What would change in the definition of "human subject" under the primary proposal?
34 CFR Part 97		1. Questions for Public Comment
DEPARTMENT OF VETERANS AFFAIRS		2. Explicit Exclusion of Activities From the Common Rule
38 CFR Part 16		a. Exclusion of Activities That Are Deemed Not Research (NPRM at § 101(b)(1)(ii))
ENVIRONMENTAL PROTECTION AGENCY		i. Program Improvement Activities (NPRM at § 101(b)(1)(iii))
40 CFR Part 26		(2) NPRM Proposal
DEPARTMENT OF HEALTH AND HUMAN SERVICES		(2) Questions for Public Comment
45 CFR Part 46		ii. Oral History, Journalism, Biography, and Historical Scholarship Activities (NPRM at § 101(b)(1)(iii))
RIN 0937-AA02		(1) NPRM Discussion
		(2) NPRM Proposal
		iii. Criminal Justice Activities (NPRM at § 101(b)(1)(iii))
		(1) NPRM Discussion
		(2) NPRM Proposal
		iv. Quality Assurance and Quality Improvement Activities (NPRM at § 101(b)(1)(iv))
		(1) NPRM Proposal
		v. Public Health Surveillance (NPRM at § 101(b)(1)(v))
		(1) NPRM Proposal
		(2) Question for Public Comment
		vi. Intelligence Surveillance Activities (NPRM at § 101(b)(1)(vi))
		(1) NPRM Proposal
		(2) Question for Public Comment
		h. Exclusion of Activities That Are Low-Risk and Already Subject to Independent Controls (NPRM at § 101(b)(2))
		1. NPRM Goals
		ii. NPRM Discussion
		iii. Educational Tests, Survey Procedures, Interview Procedures, or Observation of Public Behaviors (NPRM at § 101(b)(2)(ii))
		(1) NPRM Proposal
		(2) Questions for Public Comment
		iv. Research Involving the Collection or Study of Information That Has Been or

- NIH ICs
- Sharing.nih.gov
- Proposed revisions to the Common Rule

Data Sharing: Mechanics

- Many choices
- Options growing...
The Commons!

NIH NDAR National Database for Autism Research
Serving the autism research community

Home Query Harmonization Tools Cloud Contribute Request Access Policy Tutorials About FAQ Tools

Quick Navigation

Query

- General Query
- Data from Labs
- Data from Papers
- By Measure/Element
- By Concept
- By GUID
- omicSearch

Share

- Harmonization Standards
- Prepare and Submit
- Compute

Resources

- GUID Tool
- Validation Tool
- Validation Tool - BETA
- Download Manager
- Data Dictionary
- Contact Us
- Request Account

NDAR News

SfN 2015 Satellite Event: Big Data Op...e Registration

The National Database for Autism Research (NDAR) is an NIH-funded research data repository that aims to accelerate progress in autism spectrum disorder research through data sharing, data harmonization, and the reporting of research results. NDAR also serves as a scientific community platform and portal for research data, allowing for aggregation and secondary analysis of data.

Data Distribution
127,023 subjects by age, 85,089 individuals

Gender: Phenotypic:

NIH National Eye Institute (NEI)
Research Today...Vision Tomorrow

NEI on Social Media | Search A-Z | FAQs | en español | Text size S M L

About NEI Health Information News and Events

eyeGENE
National Ophthalmic Disease Genotyping Network

eyeGENE® Menu

- About eyeGENE®
- eyeGENE® Doctors
- eyeGENE® Patients
- eyeGENE® Researchers
- History & Goals

About

The National Ophthalmic Disease Genotyping Network (eyeGENE) aims to accelerate progress in ophthalmic disease research through data sharing, data harmonization, and the reporting of research results. eyeGENE also serves as a scientific community platform and portal for research data, allowing for aggregation and secondary analysis of data.

Contact Us:
Phone: (301) 435-5000
Email: neieye@nei.nih.gov

NCBI Resources How To

Sign in to NCBI

dbGaP

dbGaP Search

Limits Advanced

dbGaP

The database of Genotypes and Phenotypes (dbGaP) was developed to archive and distribute the results of studies that have investigated the interaction of genotype and phenotype.

Getting Started

- dbGaP Tutorial
- Overview
- FAQ
- How to Submit
- Browse Top Level Studies

Access dbGaP Data

- Collections
- Apply for Controlled Access Data
- Public Data via ftp Download
- Association Results Browser
- Phenotype-Genotype Integrator

Important Links

- Summary Statistics
- dbGaP RSS Feed
- Code of Conduct
- Security Procedures
- Contact Us

Latest Studies

Important Notice
Investigators may now request permission to use cloud computing services when submitting a dbGaP Project Request or Renewal application. Investigators must identify the cloud service provider or providers that will be employed and describe how the cloud computing service will be used to carry out their proposed research.

Study	Embargo Release	Details	Participants	Type Of Study	Links	Platform
phs000780.v1.p1 Exome Sequencing of Childhood Wilms Tumor	Version 1:		5	Case Set	Links	HISeq 2000
phs000748.v2.p1 Multiple Myeloma CoMMpass Study	Versions 1-2: passed embargo		362	Longitudinal	Links	TruSeq Exome Enrichment Kit SureSelect Human All Exon + UTRs Library TruSeq Stranded mRNA Sample Prep Kit
phs000837.v1.p1 Whole Genome Sequencing of Two 22q11DS Trios	Version 1: passed embargo		6	Parent-Offspring Trios	Links	HISeq 2000

- Thank you for your attention -

NIH Office of Science Policy: <http://osp.nih.gov>

- *Twitter: @CWolinetzNIH*
- *OSP Blog - Under the Poliscope:*
<http://osp.od.nih.gov/under-the-poliscope>

NIH Office of Extramural Programs (OEP), OER, OD, NIH

- *Email: jpkim@nih.gov*



Open Data for NOAA and its Grantees

*Briefing to CLIR/DLF E-Research Network
2016-06-15*

Jeff de La Beaujardière, PhD

*NOAA Data Management Architect and
Chair, Environmental Data Management Committee*

jeff.deLaBeaujardiere@noaa.gov

NOAA has Big Data

(National Oceanic and Atmospheric Administration)

- **10 satellites**
- **150+ weather radars**
- **3 buoy networks**
- **200+ tide gauges**
- **human observers**
- **animal telemetry**
- **17 ships**
- **10 aircraft**
- **Many numerical models**
- **Extramurally funded data**

NOAA data are unique, valuable, irreplaceable, and collected at public expense

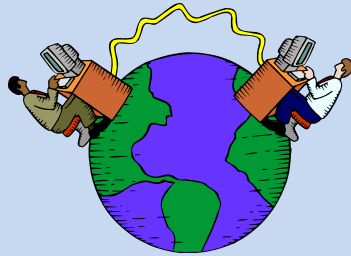


Vision for NOAA Data Management

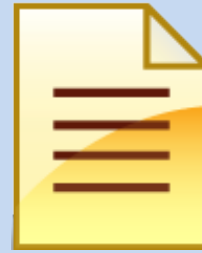
All NOAA-funded environmental data shall be



Discoverable



Accessible



Usable



Preserved

for all types of users and applications.

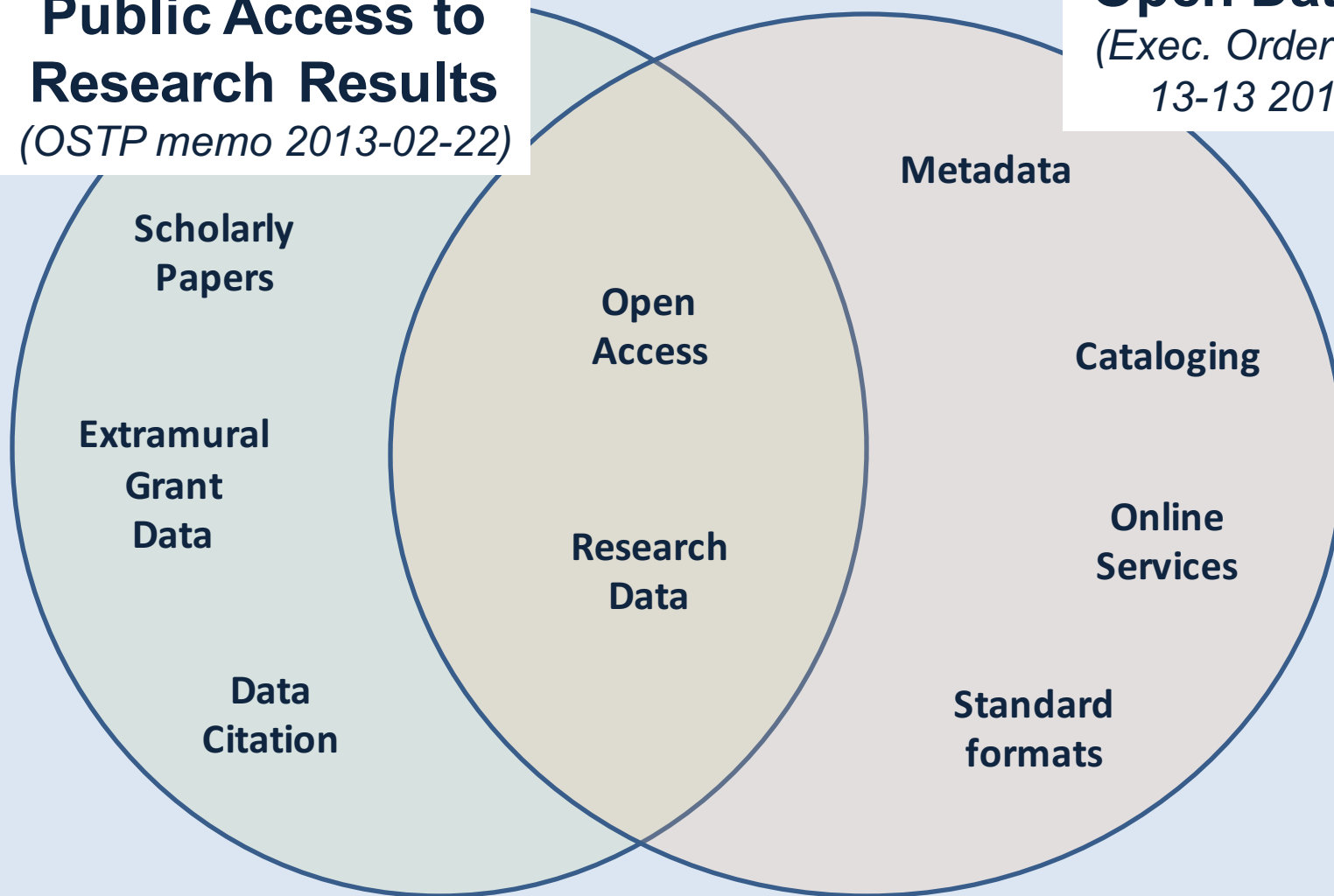
2013 White House Initiatives

Public Access to Research Results

(OSTP memo 2013-02-22)

Open Data Policy

(Exec. Order & OMB M-13-13 2013-05-09)



NOAA PARR Plan

- NOAA Plan for Public Access to Research Results
 - <http://doi.org/10.7289/V5F47M2H>
 - Response to 2013 OSTP Memo
 - Memo welcomed by NOAA Data Mgmt as high-level mandate to support existing vision and activities



NOAA Research Council
Silver Spring, Maryland
February 2015
doi:10.7289/V5F47M2H

NOAA Plan for Increasing Public Access to Research Results

A Response to the White House Office of Science and Technology Policy
Memorandum *Increasing Access to the Results of Federally Funded Scientific Research* issued February 22, 2013

NOAA Environmental Data Management Documents

<https://nosc.noaa.gov/EDMC/>

NOAA Administrative Order 212-15
(2010)

PARR-
related
policies
completed

NOAA Environmental Data Management Framework
(2012-2013)

**Data Management Planning
Directive**
(2011; rev. 2014)

**Data Documentation
Directive**
(2011)

Data Access Directive
(2015)

Archive Approval Process
(2008)

Data Citation Directive
(2015)

**Data Sharing Directive
for NOAA Grantees**
(2012; rev. 2015)

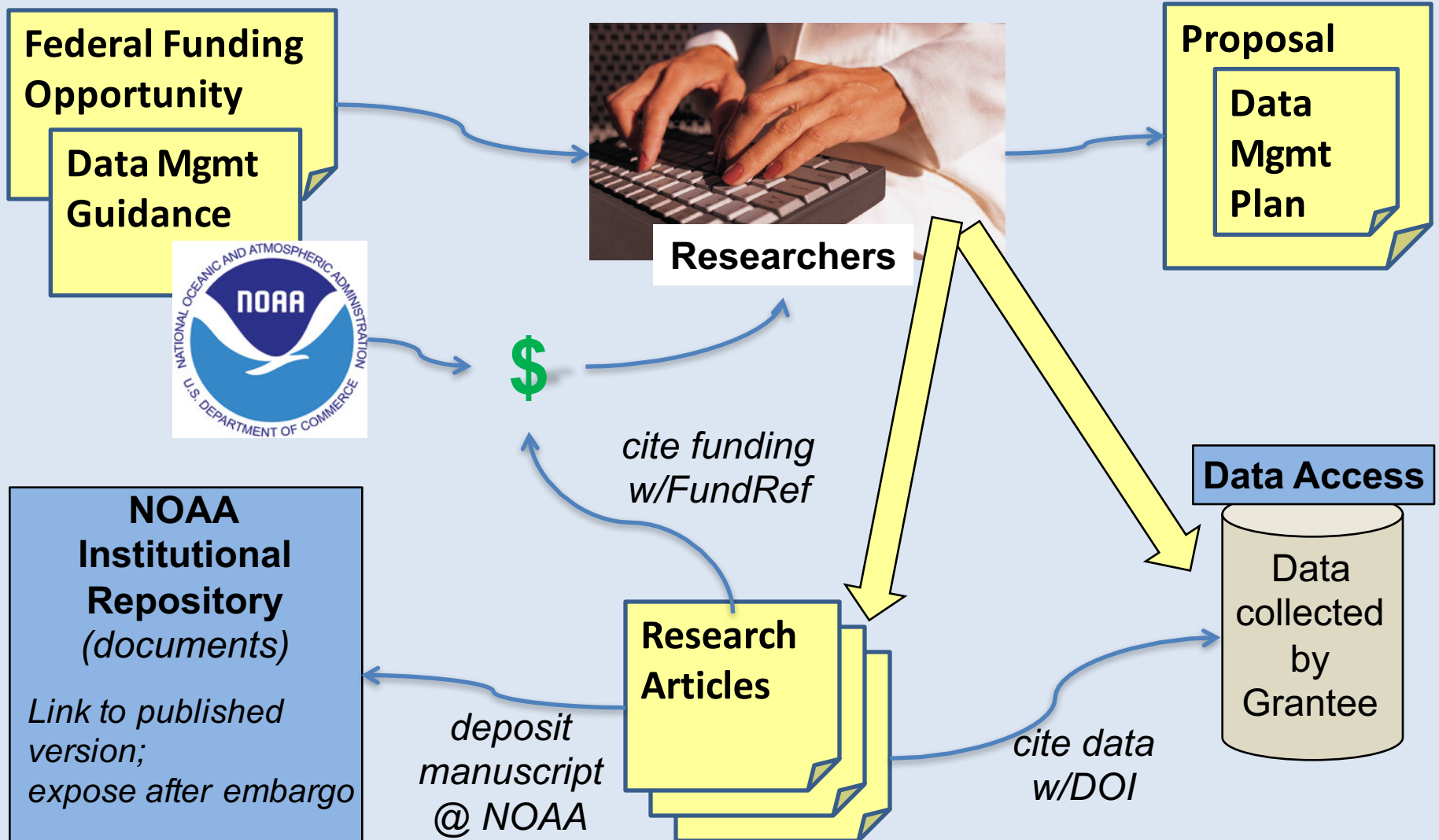
Goals of Grant Data & Publication Sharing Directive

- Environmental data produced using extramural NOAA funding are made publicly accessible in a timely fashion (<2 years)
- Manuscripts of research papers are made publicly accessible (after 1yr embargo)
- Data used to support conclusions of peer-reviewed papers are cited
- Funding sources are acknowledged in a standardized fashion

Conceptual Overview of Grant Data Sharing Directive

Data & Publication Sharing Directive for NOAA Grants, Cooperative Agreements, and Contracts (v.3, 2016)

<https://nosc.noaa.gov/EDMC/PD.DSP.php>



Categories in DM Guidance for Grantees

1. **Responsible NOAA Official** for questions regarding this guidance and for verifying accessibility of data
2. **Data Accessibility** The NOAA Program recommends (or requires) that public access to extramural data be enabled as follows *[see next slide]*
3. **Technical recommendations** (optional: formats, access service types, etc.)
4. **Resources** (data sharing costs may/may not be included in proposal budget)

Data Accessibility Options for Grantees

- Submit data to **NOAA National Ctrs for Environmental Information (NCEI)**
- Submit data to the following **NOAA facility (*other than NCEI*)**: _____.
- Submit data to the following **International Council for Science (ICSU) World Data System** facility: _____.
(see list at <https://www.icsu-wds.org/community/membership/regular-members>)
- Submit data to appropriate **public data repository**
 - e.g., Dryad (<http://datadryad.org/>), DataVerse (<http://dataverse.org/>), Pangaea (<http://www.pangaea.de/>), Acadis (<https://www.aoncadis.org/>), Merritt (<https://merritt.cdlib.org/>), etc.
- Submit data to existing publicly accessible **data server at your institution**.
- **Establish your own data hosting capability** (describe in proposal).
- Proposal may **request permission not to make data publicly accessible** (proposal to explain rationale for lack of public access).

Compliance & Tracking

- Timeline for data sharing is beyond end of grant funding
 - Soonest of: 2 years after end of data collection, or 2 years after end of grant, or upon first publication of paper based on data
- Difficult to enforce provisions
 - Will seek to incentivize self-reporting
 - Will look at past performance before awarding new funding
 - Grant programs to report metrics on verified data availability, inability to verify, and waivers granted