

PDF/A-3: All change for document-based processes!

Welcome to our Webinar!

Carsten Heiermann

**President and CEO, LuraTech
Founding Member of the Board, PDF Association**

Quick recap to start with: PDF/A

- ISO standard 19.005 today in three parts (PDF/A-1 to PDF/A-3)
 - Static view for archival materials
 - Even in far future
 - Also using future, not predictable systems
 - Therefore:
 - „Self containing“ documents needed – embedded all components
 - Reliable document – no active or unsecure contents
- > PDF/A is a compatible archival-container for many sources**
- > PDF/A is electronic paper**

PDF/A-1 to PDF/A-3

- PDF/A-1, since 11/2005, „electronic paper“, based upon: PDF 1.4
 - Compliance Level a and b
- PDF/A-2, since 07/2011, „electronic paper“, based upon: ISO 32.000
 - Compliance Level a, u and b (*)
- PDF/A-3, since 10/2012, same as PDF/A-2, plus “one more thing”
 - Attachments, file Embedding
 - In arbitrary formats

-> All three parts of the standard are published and ready to use!

(*) Webinars on PDF/A Basics and PDF/A special topics, see: www.youtube.com/luratech

PDF/A-3: Archival Format or not?

- Looks like a contradiction on the first view:
 - Native formats should be converted to PDF/A
 - Now native formats are embedded in PDF/A-3?
- Key word here: archival part / non archival part
- Goals to embed files:
 - e.g.: Save archive ready rendition with source format
 - e.g.: Save archive ready rendition with machine readable data
 - e.g.: Save meta data inseparable from archival material

-> Risk/to be avoided: Archival material as attachment!

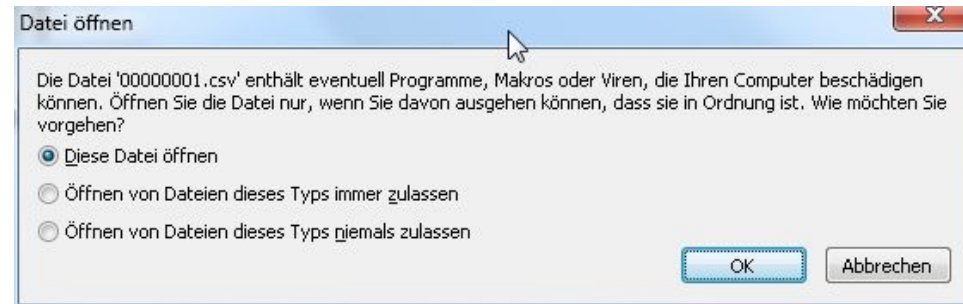
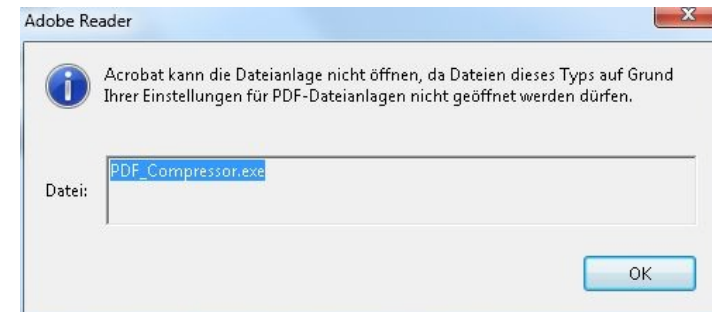
Attachments in PDF/A-3

- Embedded files have a relationship to PDF objects
 - Attachment is „Data“, „Source“, „Alternative“,... to/of an object
 - ... btw, that is not yet part of PDF! (will be in ISO 32.000-2)
- Embedded files are called “Associated Files”, associated to
 - A page, pages or the whole PDF/A document
 - A specific content, e.g. a diagram, a text paragraph, ...
- For example:

■ data.xls	is „Data“	for a specific diagram
■ metadata.csv	is „Data“	for a number of pages
■ text.doc	is „Source“	of the PDF/A-3 file
■ audio.m4a	is „Alternative“	for a text content

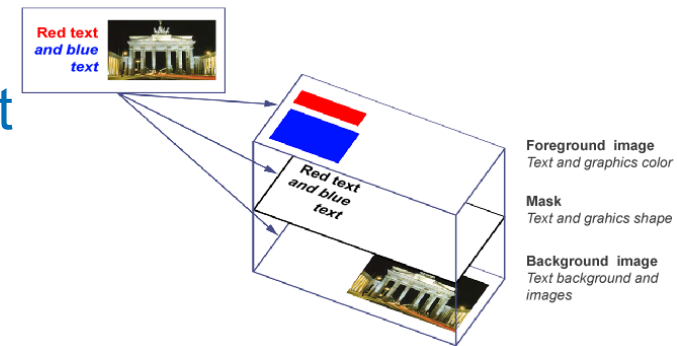
Limitations

- “ISO 19.005-3 allows for embedding of files of any type, ...”
- Practically – there are „implementation details“:
 - Adobe Reader prevents viewing/saving of embedded „dangerous files“
 - .exe, .bat, .cmd, but also .zip, .gz and .pst, .url, ...
 - Only „registry hack“ can circumvent that, thus not a practical solution
 - User: black-/white listing
 - Additional formats to be configured by user
 - Acrobat only opens .pdf by default “without comment”
 - PDF Compressor: today all, plan: option, default: prevent black list



PDF/A-3 Use Cases (1): Scanned Documents

- Meta data often more important than artifact
 - Meta data usable without image
 - Image without meta data more often not
- PDF/A-3 is the complete format:
 - PDF/A in itself: keeps scanned documents readable long term
 - MRC,...: compresses to very small (color-) documents
 - OCR: makes scanned documents searchable
 - Attachments: put scanned documents in context (that's meta data)
- Always check and decide:
 - Use XMP, XMP Extension Schemas or native format for meta data?



PDF/A-3 Use Cases (2): Born Digital / Character Encoded Files

- Hybrid Archiving:
 - Document in its life cycle, further versions might be created
 - When to create an archive ready rendition (aka PDF/A)?
- Using PDF/A-3:
 - Still open to change native format as attachment embedded into archive ready PDF/A „rendition“
- Scope of use (time):
 - PDF/A is a long term archival format
 - Requirements: works for many years, decades, ever = archiving
 - Attachments „as long as it works“
 - Requirements: maybe a couple of years = life cycle

- PDF/A-3
 - Create with PDF Compressor and „look and feel“
 - Scanned Invoices with .csv-files, resulting from a capture process
 - Hybrid archiving

PDF/A-3 Use Cases (3): „document communication“

- Electronic invoice exchange format
 - Goal: no capture needed on receiving side
 - Also for users, without EDI systems
 - German initiative of Ministry of Economics, associations, stakeholders
 - German DIN standard under construction, there after:
 - PDF/A-3 is the electronic invoice, XML based invoice data embedded
 - XML: Core Invoice Data Model MUG (CEN EU specification)
 - Subset of UN/CEFACT Cross Industry Invoice (CII)
 - CWA 16356-1 to -3 describes the data model (very technical)
 - More: <http://www.cen.eu/cen/sectors/sectors/ISSS/Activity/pages/mug.aspx>
 - Tough schedule: results expected at next CeBIT show (March 2013)
 - Outlook: get it to the EU level and international standards

PDF/A-3 Use Cases (3): „document communication“

- PDF/A file is the invoice.
XML data are embedded files with „Data“ relationship
- Invoice can be CI-invoice
- Alternatively rendering XML using CEN Core Invoice Standard View

Item	Description
1	<p>Widgets</p> <p>Seller's ID: SE54321</p> <p>Standard ID: ST12345</p> <p>Commodity Classification: A</p> <p>Commodity Sub-Classification: 1</p> <p>Order Line: 1</p> <p>Note: A brief note about these widgets.</p> <p>Length: 200MLT</p> <p>Width: 100MLT</p> <p>Thickness: 90MLT</p> <p>Gross Unit Price: 10.00</p> <p>Discount: 1.00</p> <p>Country of Origin: GB</p>
2	Nuts and Bolts

Part 3 Annex C_CEN_Core_Invoice_Standard_View_v1.0.pdf - Adobe Reader

File Bearbeiten Anzeigen Fenster Hilfe

1 / 2 73,3% Werkzeuge Signieren Kommentar

CEN Core Invoice - Standard View v1.0

Seller Seller name Seller address line1 Seller address line2 Seller address city Seller address country sub entry Seller address post code GB VAT No: GB123456789 Contact: Seller contact person name Telephone: Seller contact telephone number Fax: Seller contact fax number Email: Seller contact email address Seller's Published ID: 123456789 Seller's Legal Registration ID: Seller legal registration identifier Seller's Tax Registration Status: Seller tax registration status	Invoice date and No Invoice No: Invoice identifier Invoice Date: 09/05/2011 Invoice Period Start: 01/05/2011 Invoice Period End: 31/05/2011 Other References Buyer's Accounting Code: BUY0001 Buyer's Reference ID: Buyer reference identifier Order Reference ID: Order reference identifier Contract Reference ID: Contract reference identifier Contract Type: Contract type, text Contract Type Code: AAA
Buyer Buyer name Buyer address line1 Buyer address line2 Buyer address city Buyer address country sub entry Buyer address post code FR VAT No: Buyer VAT identifier Contact: Buyer contact person name Telephone: Buyer contact telephone number Fax: Buyer contact fax number Email: Buyer contact email address Buyer's Published ID: 3210987654321 Buyer's Legal Registration ID: Buyer legal registration identifier	Terms of delivery and payment Delivery Date: 31/03/2011 Delivery Location ID: Delivered to location identifier Delivered to address line 1 Delivered to address line 2 Delivered to address city Delivered to address country sub division Delivered to address post code Delivery Country: FR Payment Due Date: 09/05/2011 Invoice Currency Code: EUR Payment Means Type: 31 Payment Terms: Payment terms Seller's Bank Account Details Please quote Payment Reference: Seller payment identifier Bank Account Number: Seller account identifier Bank Branch Number: Seller financial institution branch ID Bank BIC: Seller financial institution identifier Bank IBAN: Seller account identifier

Item	Description	Quantity	Unit	Unit Price	Amount	VAT Code
1	Widgets Seller's ID: SE54321 Standard ID: ST12345 Commodity Classification: A Commodity Sub-Classification: 1 Order Line: 1 Note: A brief note about these widgets. Length: 200MLT Width: 100MLT Thickness: 90MLT Gross Unit Price: 10.00 Discount: 1.00 Country of Origin: GB	5	no	9.00	45.00	T01
2	Nuts and Bolts Seller's ID: SE56789 Standard ID: ST98765 Order Line: 2 Note: A brief note about these nuts and bolts. Gross Unit Price: 2.00 Discount: 0.50 Country of Origin:	100	set	1.50	150.00	T01
Sum of Line Amounts					195.00	
Total Charges "See buyer's letter"					8.90	E
Total Charges reason code: 78					10.00	T01
Total Allowances reason code: 94					25.00	T01
Total Allowances					25.00	

1 of 2

PDF/A-3 Use Cases (3): „document communication“

- Demonstration / have a look
 - XML not „nice to look at“ for sure
 - Import/export is the game changer!

- > **Politics, industry, ISVs, support it over here,
could reach the tipping point!**

Conclusion

- PDF/A-3: All change for document-based processes!
 - Invoice exchange format shows the potential of PDF/A-3!
 - Take a look at your own document based processes!
 - Look at cost savings and better services possible now!
- PDF/A-3 is released and useable!
- Acrobat Reader XI supports PDF/A-3.
- PDF Compressor supports PDF/A-3 creation!
 - Talk to us:
 - About your feature requirements, to support your PDF/A-3 processes!
 - About testing it – get a free demo version of PDF Compressor Enterprise!

Thank you for your attention!

Questions of the audience?

Please be so kind to fill in our questionnaire!

Carsten Heiermann

LuraTech Europe GmbH
Tel: +49 / 21 91 / 58 96 0 - 0
c.heiermann@luratech.com
www.luratech.com

